

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on November 19, 1997.


Pilar Rivera



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Bruce J. Roser et al.

Serial No.: 08/923,783

Filing Date: 4 September 1997

For: METHODS FOR STABLY
INCORPORATING SUBSTANCES
WITHIN DRY, FOAMED GLASS
MATRICES AND COMPOSITIONS
OBTAINED THEREBY

Examiner: Unassigned

Group Art Unit: Unknown

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97**

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record. The Examiner is also requested to note that reference numbers 12-14 and 23-31 were cited in an Opposition to Japanese Patent Application Number 63-505533 (related U.S. application serial number 08/833,961 (04/11/97)). Reference numbers 30 and 31 were also cited

therein, but applicants were unable to obtain English translations of the references as cited.
English translations for these references are forthcoming.

This Information Disclosure Statement is submitted:

- ☒ Within three months of the application filing date or before receipt of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before a final Office Action or Notice of Allowance.
 - ☐ A fee is required. An authorization to charge the deposit account is provided below.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided below; accordingly, no fee is believed to be due.
- ☐ After receipt of a final Office Action or Notice of Allowance, but before payment of the issue fee. Accordingly, a Petition requesting consideration of the Information Disclosure Statement, an authorization to charge our deposit account, and a Certification under 37 C.F.R. § 1.97(e) are provided herein.

The Assistant Commissioner is hereby authorized to charge any fees which may be required by this statement to **Deposit Account Number 03-1952.**

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

This Information Disclosure Statement under 37 C.F.R. § 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the

like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

Dated: November 17, 1997

Respectfully submitted,

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